

PCT/US98/26069  
Serial No. 09/581,064

1

RCA 88783

PATENT COOPERATION TREATY  
IN THE UNITED STATES RECEIVING OFFICE (RO/US)

Applicant : AHMET MURSIT ESKICIOGLU, MEHMET KEMAL OZKAN,  
BILLY WESLEY BEYERS JR.  
Filed : Herewith  
For : CONDITIONAL ACCESS SYSTEM FOR DIGITAL RECEIVERS  
Int'l PCT No. PCT/US98/26069  
Int'l Filing Date: 09 December 1998

PETITION UNDER 37 C.F.R. 1.47(a)  
ONE INVENTOR NOT AVAILABLE

RECEIVED  
MAY 31 2001  
OFFICE OF PETITIONS

Hon. Commissioner for Patents  
Box PCT  
Washington, D.C. 20231  
Attn.: International Division, Legal Staff

Sir:

Applicants hereby petition the United States Patent and Trademark Office under 37 C.F.R. 1.47(a) to accept the above-identified national stage application without an executed Declaration from one of the three named Inventors.

This Petition is submitted to advise that the Agent has been unable to obtain the signature of Mr. Mehmet Kemal Ozkan (one of the Applicant's for the above-identified application) on the PCT Assignment and the PCT Declaration forms in the name of the assignee, THOMSON LICENSING S.A.

Please charge the required fee of one hundred thirty dollars (\$130.00) for the petition, under 37 C.F.R. 1.47(b), pursuant to 37 CFR 1.17(i) to Deposit Account 07-0832.

In response to the Notice of Missing Requirements Under 35 U.S.C. 371 dated 24 July, 2000, no paper number, in the subject patent application and filed herewith, for which a response is due on November 24, 2000, the following petition and exhibits are submitted in support of Applicants claim that the Inventor is not available.

Applicant submits that in view of the fact that this Petition and the response to the Notice of Missing Requirements is sent via Express Mailing, the authorization to

BEST AVAILABLE COPY

charge the Deposit Account for the fee for filing of this Petition and the response to the Notice of Missing Requirements is timely.

In support of this petition, the following exhibits are enclosed:

Included are copies of the "Combined Declaration for Patent Application and Power of Attorney" and copies of Assignment for this PCT International Application as executed by Applicants, Ahmet Mursit Eskicioglu and Billy Wesley Beyers Jr.. As required by 37 C.F.R. 1.47(b) the Declaration states the full name, last known residence, last known post office address and citizenship of the missing Inventor (**Exhibit B**).

Applicants have attached a Declaration (**Exhibit A**) executed by Ms. Davida Fornarotto, Paralegal Administrative Assistant, Thomson multimedia Licensing Inc. Ms. Fornarotto is entrusted with handling PCT Applications for Thomson multimedia Licensing Inc. and obtaining signatures from Inventors on all necessary documents.

As required by 37 C.F.R. 1.47(b) and MPEP section 409.03(b)(C), **Exhibit A** is submitted as proof that diligent effort was made to locate the Inventor and the Inventor could not be found. The efforts of Ms. Fornarotto to locate the inventor are stated in the Declaration and occurred as follows:

On March 4, 1999, Ms. Fornarotto had sent an electronic e-mail to the inventor, Mr. Mehmet Kemal Ozkan, to verify his home address in Turkey. On March 5, 1999, the inventor sent an electronic e-mail answering Ms. Fornarotto and confirming his address. On March 5, 1999, a letter was sent by courier to stated address, the last known address of the Inventor. The letter was sent via DHL airway bill no. 8879654851 and included a copy of the application along with a PCT Assignment to Thomson Consumer Electronics, Inc. and Power of Attorney, for signature by the Inventor for filing in the United States. A copy of DHL airway bill no. 8879654851 along with the letter to Mr. Ozkan and also copies of the electronic e-mail messages are attached as **Exhibit C**.

On March 24, 1999, Ms. Fornarotto sent an electronic e-mail once again to the Inventor requesting the signed formal papers. On March 25, 1999, the Inventor replied that he would be sending them to her very soon. On March 31, 1999, Ms. Fornarotto sent another electronic e-mail requesting the signed formal papers. The

last communication or correspondence Ms. Fornarotto received was an electronic e-mail message from the Inventor on April 5, 1999, stating that the inventor was sending the documents with someone travelling to the U.S. Ms. Fornarotto never received the signed formal papers. Copies of the e-mails are included as **Exhibit D**.

On November 12, 1999, Ms. Fornarotto sent copies of formal papers for several applications to Mr. Ozkan via DHL, Airwaybill No. 7786866555, and the response was that Mr. Ozkan was unknown at the address on the airwaybill no. (his last known address), see DHL letter of April 6, 2000 - **Exhibit E**.

On November 22, 1999, Ms. Fornarotto sent an e-mail to Mr. William Lagoni, Intellectual Property Administrator at the main office for Thomson Consumer Electronics, in Indianapolis. Mr. Lagoni is the liaison between the Intellectual Property Legal Office in Princeton, N.J. and the main office in Indianapolis, aiding our office in locating past and present employees. This e-mail requested a last known address for Mr. Ozkan. On November 22, 1999, Mr. Lagoni responded via e-mail including the last known address and contact information for Mr. Ozkan. Mr. Lagoni also stated that the e-mail address had worked the last time he tried it and suggested that Ms. Fornarotto e-mail Mr. Ozkan. Ms. Fornarotto sent an e-mail to Mr. Ozkan using the supplied e-mail address on November 22, 1999 requesting an updated address to which the copy of the application along with a PCT and Power of Attorney could be sent. A copy of these e-mails are attached as **Exhibit F**.

On December 1, 1999, Mr. Lagoni e-mailed Ms. Fornarotto asking if a response was received from Mr. Ozkan. Ms. Fornarotto responded that no response was received and asked what further could be done to reach Mr. Ozkan (**Exhibit G**).

On December 3, 1999, Ms. Fornarotto wrote to Mr. Lagoni a second time informing him that no response was received from Mr. Ozkan. Mr. Lagoni responded in a return e-mail dated December 4, 1999 informing Ms. Fornarotto that he also sent an e-mail to Mr. Ozkan and had not received a reply. A copy of these e-mails are attached to the petition as **Exhibit H**.

On December 7, 1999, Mr. Lagoni indicated to Ms. Fornarotto that he had sent two e-mails to Mr. Ozkan, each of which had been returned as undeliverable. Ms. Fornarotto responded by mentioning a discussion with her supervisor in which it was decided that a petition under 37 C.F.R. 1.47(a) would be filed at the appropriate time,


discussing the present situation. A copy of this e-mail is attached to the petition as **Exhibit I**.

On July 21, 2000, Ms. Fornarotto sent an electronic e-mail to the inventor requesting his present address and phone number. No response to this e-mail was received and, as of the date of this petition, a response has still not been received (**Exhibit J**).

In view of the above remarks and attached exhibits and Declaration, Applicants respectfully submit that diligent effort has been made to locate the Inventor and to have Mr. Ozkan execute the Declaration and Assignment forms. It is thus, respectfully submitted that this Petition be granted and the national stage of the present application be accepted without the signature of Mr. Ozkan.

Please charge the petition fee of \$130 to Deposit Account 07-0832 and if an additional fee is due, please charge to same account.

Respectfully submitted,

By:   
David T. Shoneman  
Reg. No. 39,371  
(609) 734-9875

Patent Operations  
Thomson Licensing, Inc.  
P.O. Box 5312,  
Princeton, NJ 08543-5312

November 10, 2000

PCT/US98/26069  
Serial No. 09/581,064

RCA 88783

**EXHIBIT A**

PCT/US98/26069  
US Serial No. 09/581,064

1

RCA 88783

PATENT COOPERATION TREATY  
IN THE UNITED STATES RECEIVING OFFICE (RO/US)

Applicant : AHMET MURSIT ESKICIOGLU, MEHMET KEMAL OZKAN,  
BILLY WESLEY BEYERS JR.  
Filed : Herewith  
For : CONDITIONAL ACCESS SYSTEM FOR DIGITAL RECEIVERS  
Int'l PCT No. PCT/US98/26069  
Int'l Filing Date: 09 December 1998

RULE 1.131 DECLARATION OF DAVIDA FORNAROTTO

I, Davida Fornarotto, declare as follows:

1. I am currently employed by Thomson multimedia Licensing, Inc., assignee of the above-captioned United States Patent Application;
2. I am responsible for filing and maintaining a docket for all PCT applications for Thomson multimedia Licensing, Inc. These duties include obtaining signatures from inventors on Declarations, Assignments and Powers of Attorney;
3. On March 4, 1999, I sent an e-mail to Mr. Mehmet Kemal Ozkan to verify his home address in Turkey. On March 5, 1999, Mr. Ozkan answered via e-mail and confirmed his address. On March 5, 1999, a letter was sent to that address (last known address of Inventor). The letter was sent via DHL airway bill # 8879654851 and included a copy of the application along with a PCT Assignment to Thomson Consumer Electronics Inc. and Power of Attorney, for signature by the Inventor, Mr. Ozkan Kemal Ozkan, for filing in the United States.
4. On March 24, 1999, I sent an e-mail to Mr. Ozkan requesting the signed formal papers. On March 25, 1999, Mr. Ozkan replied via e-mail that he would be sending the signed papers soon. On March 31, 1999, I sent another electronic e-mail requesting the signed formal papers. The last communication or correspondence I received from Mr. Ozkan was an electronic e-mail message on April 5, 1999, stating that he was sending the documents with someone travelling to the U.S.
5. On November 22, 1999, I sent an e-mail to Mr. William Lagoni, Intellectual Property Administrator at the main office for Thomson multimedia Licensing Inc. in Indianapolis. This e-mail requested a last known address for Mr. Ozkan.

6. On November 22, 1999, I received a reply from Mr. Lagoni including a last known address for Mr. Ozkan as well as additional contact information. Mr. Lagoni also suggested that I e-mail Mr. Ozkan in this reply.

7. Also on November 22, 1999, I sent an e-mail to Mr. Ozkan requesting an updated address to which the copy of the application along with a PCT Assignment and Power of Attorney could be sent.

8. On December 3, 1999, I wrote another e-mail to Mr. Lagoni informing him that no response was received from Mr. Ozkan.

9. I received a response from Mr. Lagoni by return e-mail informing me that he also sent an e-mail to Mr. Ozkan and had not received a reply.

10. On December 7, 1999, I received an e-mail from Mr. Lagoni stating he had sent two e-mails to Mr. Ozkan, each of which had been returned as undeliverable.

11. I responded to Mr. Lagoni indicating that, upon discussing the situation with Mr. Irwin Krittman, we had decided to file a Petition under 37 C.F.R. 1.47(a).

12. On April 6, 2000, a letter was received from DHL confirming their attempts to reach Mr. Ozkan and the difficulties encountered.

13. On July 21, 2000, I sent an e-mail to Mr. Ozkan requesting his present address and phone number. I have not received a response to that e-mail and, as of the date of this petition, I have still not received a response.

14. All statements made herein to my knowledge are true. These statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful statements may jeopardize the validity of the Application or any patent issued thereon.

Nov. 10, 2000

Date

Davida Fornarotto

Davida Fornarotto

PCT/US98/26069  
Serial No. 09/581,064

RCA 88783

**EXHIBIT C**



**Fornarotto, Davida (CORP, GERLMO)**

---

**From:** Fornarotto, Davida (CORP, GERLMO)  
**Sent:** Thursday, March 4, 1999 2:56 PM  
**To:** 'mehmet.ozkan@do.net.tr'  
**Subject:** Your Correct Home Address

Dear Mr. Ozkan:

We are going to file three applications of yours via the PCT and would like to send forms to you for your signature.

The address that I have for you is as follows:

Idealtepe Mah. Aydin Sok.  
Serdaroglu Apt 10/14  
Maltepe 81580 Istanbul  
Turkey

Would you please reply back and let me know if this is your correct home address. If it is not, please let me know what your correct address is and also a phone number so we may be able to get in touch with you.

Thank you for your help in this matter.

Ms. Davida Fornarotto  
Paralegal  
Thomson multimedia Licensing Inc.

**Fornarotto, Davida (CORP, GERLMO)**

---

**From:** Mehmet Ozkan  
**Sent:** Friday, March 5, 1999 2:42 AM  
**To:** Fornarotto, Davida (CORP, GERLMO)  
**Subject:** Re: Your Correct Home Address

Ms. Davida Fornarotto,  
the address is correct

Idealtepe Mah. Aydin Sok.  
Serdaroglu Apt 10/14  
Maltepe 81580 Istanbul  
Turkey

in addition my phone numbers are:  
home: (90) 216 489 6177  
work: (90) 212 215 5163  
GSM (90) 532 644 5370

*E-mail mehmet.ozkan@do.net.tr*

To expedite the process and make sure timely delivery, I would appreciate if you could include DHL return slip or account that can be used from TURKEY. The number you usually include is only valid for the US and does not work from here

Regards

Mehmet Ozkan

—Original Message—

**From:** Fornarotto, Davida (CORP, GERLMO) <davida.fornarotto@corporate.ge.com>  
**To:** 'mehmet.ozkan@do.net.tr' <mehmet.ozkan@do.net.tr>  
**Date:** Thursday, March 04, 1999 9:59 PM  
**Subject:** Your Correct Home Address

>Dear Mr. Ozkan:

>

>We are going to file three applications of yours via the PCT and would like  
>to send forms to you for your signature.

>

>The address that I have for you is as follows:

>

>Idealtepe Mah. Aydin Sok.  
>Serdaroglu Apt 10/14  
>Maltepe 81580 Istanbul  
>Turkey

>

>Would you please reply back and let me know if this is your correct home  
>address. If it is not, please let me know what your correct address is and  
>also a phone number so we  
>may be able to get in touch with you.

>

>Thank you for your help in this matter.

>

>

>Ms. Davida Fornarotto

>Paralegal

>Thomson multimedia Licensing Inc.



# Shipment Airwaybill

1-800-CALL-DHL (In NJ 1-800-950-5555)  
WEB ADDRESS: <http://www.dhl.com>

PPF  
PPF

8879654851

Quote this shipment number in

ORIGIN	DESTINATION
TTN	251

From (Shipper)	Shipper's reference
count no. 81023733	88914, 802 89399 89400

Company name  
HOMSON MULTIMEDIA LICENSING

Shipper's name  
Ms. Davida Fornarotto

Address  
INDEPENDENCE WAY  
PRINCETON NJ

Code (required)	Phone/Fax/E-mail circle one (required)
89406620	(609) 734-9400

To (Recipient)

Company name  
Attention  
Mr. Mehmet Kemal Ozkan

Delivery address  
Idealtepe Mah. Aydin Sok.  
Serdaroglu Apt 10/14  
Maltepe 81580 Istanbul  
Turkey

Postcode (required)	Phone/Fax/E-mail circle one (required)
---------------------	--

Shipper's authorization and signature

I agree that DHL's standard terms apply to this shipment and limit DHL's liability for loss or damage U.S. \$ 100. The Warsaw Convention may also apply (see reverse). I/we authorize DHL to complete all documents necessary to export this shipment. I/we understand that insurance is available on request at an extra charge. I/we agree to pay all charges if the recipient or third party does not pay. I/we understand that DHL DOES NOT TRANSPORT CASH.

Signature (required)	Date
D. Fornarotto	3.5.99

## 3 Shipment details

<b>Domestic Services</b> <input type="checkbox"/> USA-OVERNIGHT <b>International Services</b> <input checked="" type="checkbox"/> INT'L DOCUMENT EXPRESS <input type="checkbox"/> WORLDWIDE PRIORITY EXPRESS <input type="checkbox"/> Non document shipments / unlimited weight <input type="checkbox"/> WORLDFREIGHT <input type="checkbox"/> Prior arrangements required > 220 lbs. Minimum <b>WorldMail Services</b> <input type="checkbox"/> 1st class <input type="checkbox"/> APM <input type="checkbox"/> 2nd class <input type="checkbox"/> Other <b>Special Services</b> extra charges may apply <input type="checkbox"/> SATURDAY DELIVERY (not available to all locations) <input type="checkbox"/> POD Shipper's fax/e-mail for POD (optional) <input type="checkbox"/> OTHER Specify	<b>Payment Options not all options available to all countries</b> <input checked="" type="checkbox"/> Shipper's account <input type="checkbox"/> Recipient <input type="checkbox"/> Third party Acct. No. _____ <input checked="" type="checkbox"/> Cash / Check / Credit Card circle one No. _____ Expires _____ Type _____ Shipment insurance if desired, enter amount below U.S. \$ _____ Insured value _____
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Full description of contents

BUSINESS DOCUMENTS

International non document shipments only Attach original and four copies of a Commercial Invoice	
Declared value for customs (In US \$).	Export license No./Symbol (if applicable)
Harmonized Sched. B No. (if applicable)	Type of export If left blank, Permanent is assumed <input type="checkbox"/> Permanent <input type="checkbox"/> Temporary <input type="checkbox"/> Repair/Return
Shipper's EIN/SSN	These commodities, technology or software were exported from the United States in accordance with the export administration regulations. Diversion contrary to U.S. law prohibited.

Destination duties/taxes If left blank recipient pays duties/taxes

<input type="checkbox"/> Recipient	<input type="checkbox"/> Shipper	<input type="checkbox"/> Other Specify destination approved account number
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## 4 Pcs/Weight/Size

No. of pieces	Weight-if DHL Express Document packaging is used, enter XD
	lb

Dimensions in inches

length	width	height

Pieces length width height

DIMENSIONAL/CHARGED WEIGHT

lb

CODES	CHARGES Services
-------	------------------

Special services

Insurance

Drop Box/ Exp. Center

#

TOTAL

TRANSPORT COLLECT STICKER No.

PICKED UP BY

Time

Date



Shipment Airwaybill  
1-800-CALL-DHL (In NJ)  
WEB ADDRESS: <http://www.dhl.com>

PPF  
PPF

8879654851

Quote this shipment number in

ORIGIN	DESTINATION
TTN	151

From (Shipper)	Shipper's reference
count no- 81023733	88914, 802 89399 89400

Company name  
HOMSON MULTIMEDIA LICENSING

Shipper's name  
Ms. Davida Fornarotto

Address  
INDEPENDENCE WAY  
PRINCETON NJ

Code (required)	Phone/Fax/E-mail circle one (required)
185406620	(609) 734-9400

To (Recipient)

Company name

Attention  
Mr. Mehmet Kemal Ozkan

Delivery address  
Idealtepe Mah. Aydin Sok.  
Serdaroglu Apt 10/14  
Maltepe 81580 Istanbul  
Turkey

Postcode (required)	Phone/Fax/E-mail circle one (required)
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Shipper's authorization and signature

I agree that DHL's standard terms apply to this shipment and limit DHL's liability for loss or damage U.S. \$ 100. The Warsaw Convention may also apply (see reverse). I/we authorize DHL to complete all documents necessary to export this shipment. I/we understand that insurance is available on request, at an extra charge. I/we agree to pay all charges if the recipient or third party does not pay. I/we understand that DHL DOES NOT TRANSPORT CASH.

Signature (required)  
D. Fornarotto  
Date  
3.15.99

### 3 Shipment details

<b>Domestic Services</b> <input type="checkbox"/> USA OVERNIGHT <b>International Services</b> <input checked="" type="checkbox"/> INT'L DOCUMENT EXPRESS <input type="checkbox"/> WORLDWIDE PRIORITY EXPRESS <input type="checkbox"/> WORLDFREIGHT <small>Prior arrangements required / 220 lbs. Minimum</small> <b>WorldMail Services</b> <input type="checkbox"/> 1st class <input type="checkbox"/> 2nd class <input type="checkbox"/> Other <input type="checkbox"/> APM <input type="checkbox"/> 2nd class <input type="checkbox"/> Other <b>Special Services</b> extra charges may apply <input type="checkbox"/> SATURDAY DELIVERY <input type="checkbox"/> POD <small>Shipper's tax-mail for POD (optional)</small> <input type="checkbox"/> OTHER	<b>Payment Options</b> not all options available to all countries <input checked="" type="checkbox"/> Shipper's account <input type="checkbox"/> Recipient <input type="checkbox"/> Third party Acct. No. .... <input type="checkbox"/> Cash / Check / Credit Card <small>circle one</small> No. .... Expires. .... Type Shipment insurance If desired, enter amount below U.S. \$ ..... Insured value
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#### Full description of contents

BUSINESS DOCUMENTS

International non document shipments only  
Attach original and four copies of a Commercial Invoice  
Declared value for customs (in US \$). Export license No./Symbol (if applicable)

Harmonized Sched. B No. (if applicable)	Type of export <input type="checkbox"/> Permanent <input type="checkbox"/> Temporary <input type="checkbox"/> Repair/Return
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Shipper's EIN/SSN  
These commodities, technology or software were exported from the United States in accordance with the export administration regulations. Diversion contrary to U.S. law prohibited.

Destination duties/taxes If left blank recipient pays duties/taxes		
<input type="checkbox"/> Recipient	<input type="checkbox"/> Shipper	<input type="checkbox"/> Other
<small>Specify destination approved account number</small>		

<b>4 Pcs/Weight/Size</b>	
No. of pieces	
Weight if DHL Express Document packaging is used, enter XD	
Dimensions in inches @ x x @ x x @ x x Pieces length width height	
DIMENSIONAL/CHARGED WEIGHT	
CODES	CHARGES Services
	Special services
	Insurance
Drop Box/ Exp. Center #	
TOTAL	
TRANSPORT COLLECT STICKER No.	
PICKED UP BY	
Time	Date

**THOMSON** MULTIMEDIA LICENSING INC.

DAVIDA P. FORNAROTTO  
Paralegal/Administrative Assistant  
Davida.Fornarotto@corporate.ge.com

Two Independence Way, Princeton, NJ 08540  
Mailing Address: PO Box 5312, Princeton, NJ 08543-2023  
Phone: 609-734-9597, Fax: 609-734-9700

March 5, 1999

VIA COURIER

Mr. Mehmet Kemal Ozkan  
Idealtepe Mah. Aydin Sok.  
Serdaroglu Apt 10/14  
Maltepe 81580 Istanbul, Turkey

Re: RCA 88914, RCA 89399 and RCA 89400  
Forms To Be Signed

Dear Mr. Ozkan:

Thank you so much for your E-mail response concerning your address. Enclosed please find a PCT Power of Attorney form and a PCT Assignment form for each of the above applications, which require your signature so that we can file these cases via the PCT.

Before you sign these forms, please look over the attached copies of the above applications. These are the applications as filed via the PCT.

Please sign and date these forms with your FULL NAME where indicated, as per the post-it notes attached. NO NOTARIZATION IS REQUIRED on these forms. However, on the Assignment forms your signature must be witnessed by two witnesses.

Please change your address directly on the Assignment forms and place your initials next to each change.

I have enclosed a DHL label, as you requested. I hope this helps you in returning the forms to me.

If you have any questions, please do not hesitate to contact me at 609-734-9597. Thank you for your help in this matter.

Very truly yours,

*Davida*  
Ms. Davida Fornarotto  
df  
Enc.

*reminder sent by  
E-mail 3-24-99*

PCT/US98/26069  
Serial No. 09/581,064

RCA 88783

**EXHIBIT D**

**Fornarotto, Davida (CORP, GERLMO)**

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**From:** Mehmet Ozkan  
**Sent:** Thursday, March 25, 1999 2:36 AM  
**To:** Fornarotto, Davida (CORP, GERLMO)  
**Subject:** Re: RCA 88914, RCA 89399 and RCA 89400 forms

Ms. Davida Fornarotto,

I did receive the applications and will be sending them to you very soon

regards

Mehmet Ozkan

-----Original Message-----

**From:** Fornarotto, Davida (CORP, GERLMO) <davida.fornarotto@corporate.ge.com>  
**To:** 'mehmet.ozkan@do.net.tr' <mehmet.ozkan@do.net.tr>  
**Date:** Wednesday, March 24, 1999 8:22 PM  
**Subject:** RCA 88914, RCA 89399 and RCA 89400 forms

>Dear Mr. Ozkan:

>

>This is further to my letter dated March 5, 1999 in which I enclosed forms  
>for each of the above applications which required your signature.

>

>Would you please reply by return e-mail as to whether you received the  
above

>letter and forms and as to whether you will be able to send them back to me  
>immediately.

>

>Thank you for your help in this matter.

>

>

>Ms. Davida Fornarotto



**Fornarotto, Davida (CORP, GERLMO)**

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**From:** Fornarotto, Davida (CORP, GERLMO)  
**Sent:** Wednesday, March 31, 1999 9:36 AM  
**To:** 'mehmet.ozkan@do.net.tr'  
**Subject:** Forms for RCA 88914, RCA 89399 and RCA 89400

Dear Mr. Ozkan:

I am sorry to bother you again about these forms.

Would you please return these forms as soon as possible as I have a due date to submit them to the Patent Office by April 12, 1999.

Please let me know if you have already sent them or when you will be sending them.

Thank you for your help.

Davida Fornarotto

**Fornarotto, Davida (CORP, GERLMO)**

---

**From:** Mehmet Ozkan  
**Sent:** Monday, April 5, 1999 4:42 AM  
**To:** Fornarotto, Davida (CORP, GERLMO)  
**Subject:** Re: Forms for RCA 88914, RCA 89399 and RCA 89400

Ms. Fornarotto,

Since I could not use the DHL Airwaybill you included from Turkey (they said they needed a different International number), I am sending the documents with someone travelling to US tomorrow, and he will be sending the documents from there.

I hope this will not be a problem for the application dead-line

Regards

Mehmet Ozkan

-----Original Message-----

From: Fornarotto, Davida (CORP, GERLMO) <davida.fornarotto@corporate.ge.com>  
To: 'mehmet.ozkan@do.net.tr' <mehmet.ozkan@do.net.tr>  
Date: Wednesday, March 31, 1999 5:42 PM  
Subject: Forms for RCA 88914, RCA 89399 and RCA 89400

>Dear Mr. Ozkan:

>

>I am sorry to bother you again about these forms.

>

>Would you please return these forms as soon as possible as I have a due date

>to submit them to the Patent Office by April 12, 1999.

>

>Please let me know if you have already sent them or when you will be sending

>them.

>

>Thank you for your help.

>

>Davida Fornarotto

PCT/US98/26069  
Serial No. 09/581,064

RCA 88783

**EXHIBIT E**

April 6, 2000



Thomson Multimedia  
Attention: Davida Fornarotto  
2 Independence Way  
Princeton, New Jersey 08540  
Fax #: 609-734-9700

Re:

Airwaybill:	7786866555
Date Shipped:	November 12, 1999
Shipper:	Thomson Multimedia
Consignee:	Mr. Mehmet Kamal Ozkan
City, State, Zip:	Istanbul
Country:	Turkey

Dear Davida Fornarotto:

In response to your inquiry concerning the above referenced shipment, DHL Worldwide Express has traced this shipment through our shipping cycle and has ascertained the following:

This shipment was undeliverable due to the recipient was unknown at the address listed on the airwaybill. Our DHL office in Turkey could not contact Mr. Ozkan because there was no telephone listed. The shipper requested to have the shipment returned. On November 18, 1999 this shipment was returned under airwaybill number 6045724775.

DHL sincerely regrets any inconvenience that may have resulted with regards to this shipment. We value our relationship with our customers and hope you will allow us to continue providing services to your company.

Sincerely,

Shaniqua Pritchett  
Service Inquiry Representative  
1-800-225-5345, Ext. 1637

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DHL Worldwide Express  
1900 W. University Dr.  
Tempe, AZ 85281  
(602) 921-8831

PCT/US98/26069  
Serial No. 09/581,064

RCA 88783

**EXHIBIT F**

hmet.ozkan@kanald.com.tr  
Forms to be Signed  
hmet

November 22, 1999

Mr. Ozkan:

ve some forms that require your signature.

ould you please advise me, BY RETURN E-MAIL, whether the following address is your correct, present address and if I  
mail the forms to you there:

ıklar Caddesi No.: 17  
idiyekoy 80290  
bul, Turkey

e-mail is: fomarottod@tce.com

nk you for your help.

Davida Fomarotto

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PCT/US98/26069  
Serial No. 09/581,064

RCA 88783

**EXHIBIT G**

Lagoni Bill  
RE: Mehmet Ozkan

to. I sent an e-mail to him on November 22nd and have not heard from him.

Thank you for checking on me. I was going to contact you today to see what I can do now. I don't want to send the forms to him unless I hear from him first.

The date that the cases have to be filed by is January 10, 2000. I am sending the cases out now and the forms will have follow.

Thanks

David:

-----Original Message-----

From: Lagoni Bill  
Sent: Wednesday, December 01, 1999 8:51 AM  
To: Fomartto David (Princeton)  
Subject: RE: Mehmet Ozkan

Were you successful?

-----Original Message-----

From: Lagoni Bill  
Sent: Monday, November 22, 1999 3:37 PM  
To: Fomartto David (Princeton)  
Subject: FW: Mehmet Ozkan

David:

This e-mail worked successfully shortly after the first earthquake, so hopefully is still good. Note the attached includes his e-mail address, business address and business phone.

WAL

-----Original Message-----

From: Hall Susan (Tech Library Indy)  
Sent: Monday, November 22, 1999 3:31 PM  
To: Lagoni Bill  
Subject: FW: Mehmet Ozkan

<< File: Mehmet Ozkan.vcf >>

3



Mehmet Ozkan  
Ozkan  
Mehmet

7 Kırkinci Yil 1943-2000

S.A.

Business Address:

Ortaklar Caddesi No: 17  
Mecidiyekoy 80290  
Istanbul-Turkey

Business:  
Business 2:  
Business Fax:

(+90-212) 215 51 11  
(+90-212) 215 51 63  
(+90-212) 215 51 72

mail:

mehmet.ozkan@kanald.com.tr

PCT/US98/26069  
Serial No. 09/581,064

RCA 88783

**EXHIBIT H**

Lagoni Bill  
Mehmet Ozkan

December 3, 1999

I still have not heard from Mr. Ozkan. What can I do now?

ivida

5

From:  
Lagoni Bill  
Saturday, December 04, 1999 1:37 PM  
Fomarotto Davida (Princeton)  
RE: Mehmet Ozkan

Subject:

I know. I sent a message to the e-mail address I gave you and marked it for confirmation of receipt and confirmation  
ing read, and got no response from either. I don't have any other ideas.

Original Message  
Fomarotto Davida (Princeton)  
Friday, December 03, 1999 4:57 PM  
Lagoni Bill  
Mehmet Ozkan

ember 3, 1999  
have not heard from Mr. Ozkan. What can I do now?  
la

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PCT/US98/26069  
Serial No. 09/581,064

RCA 88783

**EXHIBIT I**

Lagoni Bili  
Mehmet Kemal Ozkan

Subject:

December 7, 1999

Message  
Further to your response to my e-mail, I still have not heard from Mr. Ozkan.

I spoke to Mr. Krittman, and we will have to file a Petition under 37C.F.R.1.47(a) in the US (and any other countries that require forms to be signed) stating the process that we used to try to find Mr. Ozkan.

Thank you for your help.

Yvonne

Yvonne

Yvonne

Yvonne

7

Fornarotto Davida (Princeton)

Lagoni Bill

Tuesday, December 07, 1999 4:07 PM

Fornarotto Davida (Princeton)

RE: Mehmet Kemal Ozkan

t:

for the information about what is involved. I twice sent e-mails to the address I gave you and marked them for  
ation of delivery as well as being read; they have repeatedly been returned as undeliverable.

nal Message—

Fornarotto Davida (Princeton)

Tuesday, December 07, 1999 3:29 PM

Lagoni Bill

Mehmet Kemal Ozkan

ber 7, 1999

r to your response to my e-mail, I still have not heard from Mr. Ozkan.

to Mr. Kritzman, and we will have to file a Petition under 37C.F.R.1.47(a) in the US (and any other countries that  
forms to be signed) stating the process that we used to try to find Mr. Ozkan.

you for your help.

*Can not locate*

*7 x 8*

PCT/US98/26069  
Serial No. 09/581,064

RCA 88783

**EXHIBIT J**



**Fornarotto Davida (Princeton)**

---

**To:** mehmet.ozkan@do.net.tr  
**Subject:** RCA 88914, 89399 and 89400 Forms to be signed

July 21, 2000

Dear Mr. Ozkan:

This is to advise you that we have some forms for the above applications that require your signature.

Would you please contact me by return e-mail and let me know your present home address, phone number, and any other information concerning where you are presently located.

We have been trying to locate you since April 1999.

Thank you for your help.

Davida Fornarotto  
Thomson multimedia Licensing Inc.  
2 Independence Way  
Princeton, New Jersey 08540 USA

Phone: 609-734-9597

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